

**Annexure- 1**

**Empanelment of arrangers:-** The Minimum Qualifying Criteria for empanelment of arrangers are as under:

<b>Sr. No.</b>	<b>Criteria</b>	<b>Minimum Qualifying Criteria (MQC)</b>	<b>Documents Required</b>
1	Net Worth & Turnover (yearly)	Net Worth : ₹10 Cr. Minimum Turnover : ₹50 Cr. Average Minimum yearly from secondary Debt market for last 3 years. (2016-17 to 2018-2019)	Net Worth of the company = Total Assets – Total Liabilities. Net worth/Annual turnover certificate from C.A.
2	Registration Certificate with SEBI & BSE/NSE	Mandatory (SEBI) BSE/NSE (Any One)	Copy of Registration Certificate with SEBI, BSE/ NSE or Both
3	Minimum Experience of 5 Years	Mandatory	Certificate of Incorporation.
4	List of Clients	Empanelled with Minimum of 5 Gratuity/PF Trusts of Central/State /PSUs /Companies (other than RIMDC LTD CPF TRUST).	Copy of empanelment along with List of PSU.
5	PAN No.	Mandatory	–
6	Company should not be blacklisted in last 3 years	Mandatory	Affidavit to be submitted
7	Credit rating from Registered Credit Agency)	A & Above (Mandatory)	Certificate to be submitted
8	Security Money Bank Details : Beneficiary Name : RIMDC Ltd., CPF Trust Bank : ICICI S.B A/C : 678601008931 IFSC Code : ICIC0006786	₹ 10000/- (without Interest)	Proof of remittance

**TERMS AND CONDITIONS FOR EMPANELMENT WITH THE TRUSTS=:**

1. **SUBMISSION OF APPLICATION /EOI:-**Your application / EOI should be complete in all respects through <<https://sso.rajasthan.gov.in>>RIICO=> Redirect to RIICO portal=>Select “Arranger”
2. **Scope of Work post Empanelment and Selection:** - The Empanelled agencies are expected to provide Quotation for the Investment in Central/ State Securities, Debt Funds and other instruments whenever required from time to time. Once quoted rates cannot be withdrawal before deal execution for that day. If any arranger / broker back out from its quote than suitable action can be taken against its company by the Trust.
3. **Period of Empanelment:** -The Applicant shortlisted would be empanelled initially for a span of 5 years starting from date of issue of the letter for empanelment and extendable at the discretion of Chairman RIMDC LTD CPF TRUST for further period.

4. **PROCEDURE FOR DELISTING/PUTTING ON HOLD:-**

On the occurrence of any eventualities listed below, Trust concerned shall have the power to immediately put on hold the defaulter for a period of six months to discourage the defaulters.

4.1 The reason for delisting/putting on hold could be:-

- a) Failure to fulfill the commitment of delivery of security.
- b) Failure to hold the price after the deal is confirmed.
- c) Any one of the mandatory condition of empanelment is not more fulfilled.
- d) Not responding to the enquiries (invitation for quotes) of the Trusts for one year.
- e) Any other eventuality which Trust may consider a default action.
- f) Once quoted rates cannot be withdrawal before deal execution for that day. If any arranger / broker back out from this quote than suitable action can be taken against this company by the Trust.

Subsequently, if any arrangers repeated any one of the eventualities listed in Para 4.1 for one more Occasion, the arranger may be permanently delisted from the arrangers list.

The performance of the arrangers will be reviewed yearly / half yearly and his continuance for further or otherwise will be decided accordingly.

**ACCEPTANCE OF TERMS AND CONDITIONS:-** Empanelled arrangers shall be required to give their acceptance to our “Terms and Conditions” of doing business with RIMDC LTD CPF TRUST.

5. **ARBITRATION:-**

Any dispute or difference, whatsoever arising between the parties out of or relating to the Application/EOI shall referred to the sole arbitration of the CHAIRMAN, RIMDC LTD CPF Trust whose decisions shall be final, conclusive and binding.

6. **AUTHORISED OFFICER:-** CHAIRMAN, RIMDC LTD CPF Trust, or any other officer nominated by them shall be the authorized officer with regard to the Application/EOI. The decision of the authorized officers shall be final and binding on the Primary Dealers/ Arrangers.

7. **Contact person:-** Deputy General Manager (Finance & Accounts)/Trustee Secretary (9414025262) & AAO II (9828518360).

I ..... Designation..... working in Company Name..... hereby verify that I accept all above mentioned terms and conditions and hereby declare that all the certificates and documents submitted are true and correct to the best of my personal knowledge. Nothing material has been concealed there from, and not part of it is false.

(Name of Authorized Person)

<b>Sr.No</b>	<b>Subject</b>	<b>Particulars (As per annexure 1 and 2)</b>
1	Name of the Primary Dealer/ Arranger	
2	Contact Person, Address, Telephone,Mobile,FaxNo. E-mailAddress	
3	Net Worth and Turnover(yearly) (Forlast3years)	10 CR. Net worth 50 CR. Turnover
4	RegisteredwithSEBIand BSEorNSEorBoth	SEBI Registered <input type="checkbox"/> NSE Registered <input type="checkbox"/> BSE Registered <input type="checkbox"/>
5	Experience – No. of Years	5 years
6	No.ofClients–PF/Gratuitytrust (Listofclientstobeattached)	5 Clients (Details field) list Enclose
7	Market Segment dealt with	Primary/ Secondary or Both
8	Whether Company has blacklisted in last 3 years	Yes/ No.
9	Credit rating from Registered Credit Agency)	A/A+/ AA.....
10	Proof of Security Money remittance (enclosed)	Details ....

I ..... Designation..... working in Company Name..... herby verify that I accept all above mentioned terms and conditions and herby declared that all the certificates and documents submitted are true and correct to the best of my personal knowledge. Nothing material has been concealed there from, and not part of it is false.

**(Name of Authorized Person)  
With designation & Company seal**